

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Bill Shapiro et al. Art Unit : 2135
Serial No. : 10/659,874 Examiner : Joseph T. Pan
Filed : September 9, 2003 Conf. No. : 5247
Title : CONTROLLING ACCESS TO ELECTRONIC DOCUMENTS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Please correct the Filing Receipt for the above-referenced application to reflect the correct first name of inventor James Donahue.

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes in red ink is attached for your convenience, along with the application transmittal letter and executed declaration.

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

Date: Jan. 31, 2008



William E. Hunter
Reg. No. 47,671

Customer Number 21876
Fish & Richardson P.C.
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Handwritten: DATED 12/11/03



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE RECD	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/659,874	09/09/2003	2176	3614	07844-609001	3	54	6

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FISH & RICHARDSON LLP
SILICON VALLEY OFFICE

Handwritten: P562

CONFIRMATION NO. 5247

FILING RECEIPT



0C000000011409145

Date Mailed: 12/04/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Bill Shapiro, Palo Alto, CA; ✓
~~James~~ Donahue, Sunnyvale, CA;
Handwritten: James

* No Docketing Required *
Reviewed by Practice Systems
Initials: *MS*
Reviewed by Billing Secretary
Initials: *D*

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 12/02/2003

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Controlling access to electronic documents ✓

Preliminary Class

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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FISH & RICHARDSON P.C.

Frederick P. Fish
1855-1930

W.K. Richardson
1859-1951

September 9, 2003

Attorney Docket No.: 07844-609001

Mail Stop Patent Application

Commissioner for Patents

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Presented for filing is a new original patent application of:

Applicant: BILL SHAPIRO AND JAMES DONAHUE

Title: CONTROLLING ACCESS TO ELECTRONIC DOCUMENTS

Enclosed are the following papers, including those required to receive a filing date under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	16
Claims	11
Abstract	1
Declaration	2
Drawing(s)	3

Enclosures:

- - Postcard
- Application Cover Sheet (1 page);
- Request for Non-Publication (1 page).

Basic filing fee	\$750
Total claims in excess of 20 times \$18	\$612
Independent claims in excess of 3 times \$84	\$252
Fee for multiple dependent claims	\$0
Total filing fee:	\$1614

A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EV 321386693 US

Date of Deposit September 9, 2003



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FISH & RICHARDSON P.C.

Commissioner for Patents
September 9, 2003
Page 2

If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (650) 839-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please direct all correspondence to the following:

021876

PTO Customer Number

Respectfully submitted,

A handwritten signature in cursive script that reads "Fredrik Mollborn".

Fredrik Mollborn
Reg. No. 48,587
Enclosures
FXM/dmb
50173233.doc

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Controlling Access to Electronic Documents

the specification of which:

☒ is attached hereto.

☐ was filed on 09/09/2003.

☐ under Application No.

☐ with Express Mail No.

(Application Number not yet known).

☐ was described and claimed in PCT International Application No.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby appoint all registered practitioners associated with Customer Number 021876 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to:

Customer Number 021876

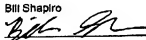
Direct all telephone calls to Fredrik Mollborn, Reg. No. 48,587, at telephone number (650) 639-5070.

☒ For Assigned Inventions: I understand that the purpose of making this appointment is to permit prosecution of patent applications for the above-identified invention for the benefit of my assignee, and that this appointment does not create an attorney-client relationship between me and these appointees.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of inventor: Bill Shapiro

Inventor's signature



Date:

9/9/03

Residence:

Citizen of:

Post Office Address:

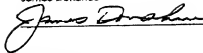
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Client No. P562

Full name of inventor:

James Donahue

Inventor's signature



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